

Metamath Zero

From Logic, to Proof Assistant, to Verified Compiler

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Introduction to Metamath Zero

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(This is an idealization. Other ways this could play out:)

- ▶ Penny writes a document in plain English going through the logic of the proof, leading readers to have no choice but to accept the truth of T .
- ▶ Victor decides that T is too unlikely to be true and Penny couldn't possibly have proved it, and ignores the proof.

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- ▶ Penny writes a document in plain English going through the logic of the proof, leading readers to have no choice but to accept the truth of T .
- ▶ Victor decides that Penny is trustworthy and does not read the proof very carefully, and is convinced of T .

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- ▶ If Penny has a *very* large proof, the communication process can break down because Victor will get tired
- ▶ How can Penny lift the burden on Victor and address the imbalance?

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- ▶ Robo-Victor isn't Victor!
- ▶ Victor can watch Robo-Victor be convinced without themselves being convinced

Proof as a social process: now with robots!

New strategy:

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2. Penny convinces Victor that Robo-Victor is a valid proof checker.
3. Victor reads the *statement* written in Robo-Victor's language that determines what Robo-Victor checked, and determines that this is in fact a way to write T .

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- ▶ Victor has two jobs now but it is still a lot less work than the original plan since the hard part is being done by Robo-Victor
 - ▶ Observation: Step 2 is a mathematical statement, that Robo-Victor (a particular computer program) checks proofs according to some rules

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This is a circular argument though, so we need more:

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That is, we can use the circular proof to bolster “good old-fashioned” proof.

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- ▶ Metamath Zero (MM0) is a specification language which allows you to write theorem statements.
- ▶ The analogue of Robo-Victor is the MM0 verifier.

Introduction to Metamath C

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- Software correctness can be proved by mathematical proof (“deductive verification”)

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Metamath C is a language for writing verified programs.

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- ▶ MMC: A proof-producing compiler
 - ▶ A programming language for producing (x86) programs with a proof of correctness

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 - ▶ The MMC compiler produces MM0 proofs
 - ▶ The MM0 verifier is written in MMC

A simple MM0 file: propositional logic

```
delimiter $ ( ~ $ $ ) $;  
strict provable sort wff;  
term im (a b: wff): wff; infixr im: $->$ prec 25;  
term not (a: wff): wff; prefix not: $~$ prec 40;  
  
-- The Lukasiewicz axioms for propositional logic  
axiom ax_1 (a b: wff): $ a -> b -> a $;  
axiom ax_2 (a b c: wff):  
  $ (a -> b -> c) -> (a -> b) -> a -> c $;  
axiom ax_3 (a b: wff):  
  $ (~a -> ~b) -> b -> a $;  
axiom ax_mp (a b: wff):  
  $ a -> b $ >  
  $ a $ >  
  $ b $;  
  
-- Assert that 'P -> P' is provable  
theorem id (P: wff): $ P -> P $;
```

Peano arithmetic

```
... -- predicate logic

--| The sort of natural numbers, or nonnegative integers.
sort nat;

--| '0' is a natural number.
term d0: nat; prefix d0: $0$ prec max;

--| The successor operation: 'suc n' is a natural number when 'n' is.
term suc (n: nat): nat;

--| Zero is not a successor. Axiom 1 of Peano Arithmetic.
axiom sucne0 (a: nat): $ suc a != 0 $;

--| The successor function is injective. Axiom 2 of Peano Arithmetic.
axiom sucinj (a b: nat): $ suc a = suc b <-> a = b $;

--| The induction axiom of Peano Arithmetic. If 'p(0)' is true,
--| and 'p(x)' implies 'p(suc x)' for all 'x', then 'p(x)' is true for all 'x'.
axiom induction {x: nat} (p: wff x):
  $ [ 0 / x ] p -> A. x (p -> [ suc x / x ] p) -> A. x p $;
```

Peano arithmetic

```
--| Addition of natural numbers, a primitive term constructor in PA.  
term add (a b: nat): nat; infixl add: $+$ prec 64;  
--| Multiplication of natural numbers, a primitive term constructor in PA.  
term mul (a b: nat): nat; infixl mul: $*$ prec 70;  
  
--| Addition respects equality.  
axiom addeq (a b c d: nat): $ a = b -> c = d -> a + c = b + d $;  
--| Multiplication respects equality.  
axiom muleq (a b c d: nat): $ a = b -> c = d -> a * c = b * d $;  
--| The base case in the definition of addition.  
axiom add0 (a: nat): $ a + 0 = a $;  
--| The successor case in the definition of addition.  
axiom addS (a b: nat): $ a + suc b = suc (a + b) $;  
--| The base case in the definition of multiplication.  
axiom mul0 (a: nat): $ a * 0 = 0 $;  
--| The successor case in the definition of multiplication.  
axiom mulS (a b: nat): $ a * suc b = a * b + a $;
```

Peano arithmetic

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We define:

- ▶ Propositional logic
- ▶ Predicate logic
- ▶ Class theory
- ▶ $+$, $-$, $*$, $/$, mod , gcd
- ▶ even, odd, disjoint sums
- ▶ ordered pairs, cartesian product
- ▶ finite functions, class functions
- ▶ Integers: $+$, $-$, $*$, $/$, mod
- ▶ Bitwise operators
- ▶ Recursion, exponentiation
- ▶ Lists
- ▶ Set operators
- ▶ finite sets, finite set theory
- ▶ cardinality
- ▶ List ops: length, append, repeat, reverse, map, join, filter, zip, ...

Metaprogramming with MM1

- ▶ MM1 comes with a metaprogramming language based on Scheme

```
do {  
  (display "hello world")      -- hello world  
  {2 + 2}                      -- 4  
  (def x 5)  
  {x + x}                      -- 10  
  (def (f y) {y + y})  
  (f 3)                        -- 6  
  (def (fact x)  
    (if {x = 0}  
        1  
        {x * (fact {x - 1})}))  
  (fact 5)                    -- 120  
};
```

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- ▶ `theorem _` means it is an example
- ▶ `norm_num` is the tactic which proves the theorem
- ▶ `,19` calls a preprocessor to render 19 as a term. The actual theorem proved is:

```
theorem _: $ (x1 :x x3) * (x7 :x x8) + x2 = (x8 :x xe :x xa) $;
```

that is, $0x13 \cdot 0x78 + 0x2 = 0x8ea$ which is the theorem written in hexadecimal

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- ▶ To interpret IO, a model of the (Linux) operating system
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- ▶ The ELF file format (the linux equivalent of .exe)

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 - ▶ Describing the underlying proof system, how theorems are proved from axioms

$$\frac{\text{P-THM} \quad (\Gamma'; \bar{A} \vdash B) \in E \quad \Gamma \vdash \bar{e} :: \Gamma' \quad \forall i, \vdash A_i[\Gamma' \mapsto \bar{e}] \quad \forall i j x, \Gamma'_i = x \notin V_{\Gamma'}(\Gamma'_j) \rightarrow e_i \notin \text{FV}_{\Gamma}(e_j)}{\vdash B[\Gamma' \mapsto \bar{e}]}$$

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 - ▶ Describing how full files are put together from definitions and theorems

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The correctness theorem

- ▶ This is everything we need to state the correctness theorem for a verifier:

Functional correctness for a verifier

Program P is a correct theorem prover if for every initial state $s \in \text{init}(P)$, all nondeterministic evaluations do not cause undefined behavior, and after reaching a final state $s \rightsquigarrow^* s'$, if s' is a successful exit state and $\text{input_consumed}(s') = I$, then I is a valid and provable MM0 file.

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- ▶ This is everything we need to state the correctness theorem for a verifier:

Functional correctness for a verifier

Program P is a correct theorem prover if for every initial state $s \in \text{init}(P)$, all nondeterministic evaluations do not cause undefined behavior, and after reaching a final state $s \rightsquigarrow^* s'$, if s' is a successful exit state and $\text{input_consumed}(s') = I$, then I is a valid and provable MM0 file.

- ▶ Red: definitions from `x86.mm0`
- ▶ Blue: definitions from `mm0.mm0`

Metamath C

- ▶ Most of this theorem is generic over all programs, not just MM0 verifiers:

Correctness, generalized

Program P is correct to specification T if for every initial state $s \in \text{init}(P)$, all nondeterministic evaluations do not cause undefined behavior, and after reaching a final state $s \rightsquigarrow^* s'$, if s' is a successful exit state and $\text{input_consumed}(s') = I$ and $\text{output_produced}(s') = O$, then $T(I, O)$ is true.

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- ▶ The Metamath C compiler produces theorems of this form.

Metamath C

- ▶ MMC is not a “general-purpose” programming language
 - ▶ Someday, it can hope to be about as general purpose as C or Rust, but this is a gargantuan effort for many reasons
- ▶ The niche MMC fills is writing executable programs which *provably* satisfy some condition
- ▶ Most programs don't need this property, but correctness is important to some degree in almost every program, and (approximate) type correctness is mainstream

Type system → static analysis → theorem prover

Programming languages have come a long way in terms of provable correctness

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- ▶ **Metamath C**

A type checker is just a simple theorem prover; the study of one naturally leads to the other

Examples: Procedures

- ▶ This is a function that takes two 32 bit integers and returns their sum, wrapped to 32 bits

```
proc add2(x: u32, y: u32): u32 {  
    return (x + y) as u32;  
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- ▶ Supports multiple returns and dependent types for writing preconditions and postconditions

```
proc deptypes(x: u32, _: x = 0): y: u32, sn((x + y) as u32) {  
  1, sn((x + 1) as u32)  
}
```

Examples: Tuples and pattern matching

- ▶ This function constructs and destructs some tuples. The `sn(1)`, `sn(2)` return type says that this function returns exactly the values 1 and 2

```
proc tuples(): sn(1), sn(2) {  
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proc tuples(): sn(1), sn(2) {  
  let x: (nat, nat) := (1, 3); // <- changed 2 to 3  
  let (one, two) := x;  
  sn(one), sn(two) // type error!  
}
```

Examples: Control flow

- ▶ After an `if` statement, you can capture the property's truth value in a variable:

```
proc if_statement(x: nat) {  
  if h: x < 10 {  
    // x: nat, h: x < 10  
  } else {  
    // x: nat, h: ~(x < 10)  
  }  
}
```

Examples: Control flow

- ▶ While loops and assignment:

```
proc while_loop() {  
  let b := true;  
  let h2 := while h: b {  
    // h: b  
    b <- false;  
  };  
  // h2: ~b  
}
```

Examples: Numeric types

- ▶ There are various fixed size integral types, as well as unbounded integer types

$\tau ::= \text{u8} \mid \text{u16} \mid \text{u32} \mid \text{u64} \mid \text{nat} \mid \text{i8} \mid \text{i16} \mid \text{i32} \mid \text{i64} \mid \text{int} \mid \dots$

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 - ▶ $(x + y)$ **as u32**: wrap the result
 - ▶ $(x + y)$: **u32**: make the type checker prove it is in range (usually only works if the values of x and y are known)
 - ▶ **cast** $(x + y, h)$: **u32**: prove that $x + y < 2^{32}$
 - ▶ **cast** $(x + y)$: **u32**: assert that $x + y < 2^{32}$ and crash otherwise

Separation logic

MMC's type system includes the basic primitives of separation logic, for expressing complex properties:

Type	Concrete syntax	Typehood predicate $a : -$	Meaning
$\exists x : \tau_1, \tau_2(x)$	ex $x : \tau_1, \tau_2(x)$	$\exists x : \tau_1, a : \tau_2(x)$	Existential quantification
$\forall x : \tau_1, \tau_2(x)$	all $x : \tau_1. \tau_2(x)$	$\forall x : \tau_1, a : \tau_2(x)$	Universal quantification
$\tau_1 \rightarrow \tau_2$	$\tau_1 \rightarrow \tau_2$	$a : \tau_1 \rightarrow a : \tau_2$	Non-separating implication
$\tau_1 * \tau_2$	$\tau_1 * \tau_2$	$a : \tau_1 * a : \tau_1$	Separating imp. (magic wand)
$\tau_1 \wedge \tau_2$	$\tau_1 \&\& \tau_2$	$a : \tau_1 \wedge a : \tau_2$	Non-separating conjunction
$\tau_1 * \tau_2$	(τ_1, τ_2)	$a.0 : \tau_1 * a.1 : \tau_2$	Separating conjunction
$\tau_1 \vee \tau_2$	$\tau_1 \tau_2$	$a : \tau_1 \vee a : \tau_2$	Disjunction
$\neg \tau$	$\sim \tau_1$	$\neg a : \tau$	Negation
$\ell \mapsto v$	$\ell \mapsto v$	$\ell \mapsto v$	Points-to assertion
$e : \tau$	$[e : \tau]$	$e : \tau$	Typing assertion
$ \tau $	moved (τ)	$\boxed{a : \tau}$	Persistent core of τ

The main function

- ▶ The theorem to be proved by the MMC compiler depends on the return type of the `main()` function:

```
proc main(): collatz_conjecture {  
    // if this program succeeds, then the collatz conjecture is true  
    assert(false) // ...not that I know how to write such a program!  
}
```

The Metamath C compiler

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- ▶ We have to lower all this, while preserving proofs along the way
- ▶ Note: the MMC compiler is *not* a “verified compiler” in the sense of CompCert
 - ▶ There is a single theorem that asserts that CompCert compiles any C program according to the C spec
 - ▶ The MMC compiler instead produces a proof on the fly that *your* program meets *your* spec

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- ▶ The instructions are assembled into bytes, and the ELF header is added

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- ▶ We first re-assemble the emitted byte stream back into the PCode representation, but generating a “proof of assembly” along the way
- ▶ MIR has a proper type system, while PCode maintains a mapping to the bytes and also to the MIR. So we go over each function, and produce a proof of correctness relative to the reconstructed assembly.

The Metamath C compiler: The assembly proof

An example assembly theorem, which parses binary operations involving a register and intermediate argument, like `add rax, 1` (which does `RAX += 1` where `RAX` is one of the general purpose registers):

INST-BINOP-IMM

$$\frac{\text{split}_{1,3}(y) = v, \emptyset \quad \text{opSizeW}(rex^?, v) = sz \quad \text{parseModRM}(rex^?, s) \Rightarrow opc, \text{reg } dst, s' \\ \text{parseImm}(sz, s') \Rightarrow src \quad \text{parseBinop}(opc, sz, dst, \text{imm32 } src) \Rightarrow I}{(8 \ y) \ s \ @ \ p, ip, rex^? \Rightarrow I \ \text{inst}}$$

The Metamath C compiler: The assembly proof

Some example correctness theorems:

CODE-SEQ

$$\frac{\mathcal{B} \vdash \{\mathcal{T}_0\} A_1 \{\mathcal{T}_1\} \quad \mathcal{B} \vdash \{\mathcal{T}_1\} A_2 \{\mathcal{T}_2\}}{\mathcal{B} \vdash \{\mathcal{T}_0\} (A_1; A_2) \{\mathcal{T}_2\}}$$

CODE-MOV-RR

$$\frac{\text{read}(\mathcal{T}, \text{reg } src) \Rightarrow v \quad \text{write}(\mathcal{T}, \text{reg } dst, v) \Rightarrow \mathcal{T}'}{\mathcal{B} \vdash \{\mathcal{T}\} (\text{mov.64 } dst \text{ } src) \{\mathcal{T}'\}}$$

BLOCK-I

$$\frac{\mathcal{B} \vdash A @ n \text{ lasm} \quad \mathcal{B} \vdash \{\mathcal{T}\} A \{\perp\}}{\mathcal{B} \vdash \text{block}(\mathcal{T}) @ n}$$

CODE-JCC

$$\frac{\text{insert}(\mathcal{T}, \tau) \Rightarrow \mathcal{T}_1 \quad \text{insert}(\mathcal{T}, \neg\tau) \Rightarrow \mathcal{T}_2 \quad \text{flagCond}(f, \text{cond}) \Rightarrow \tau \quad \mathcal{B} \vdash \text{block}(\mathcal{T}_1) @ \text{tgt}}{\mathcal{B} \vdash \{\text{withFlag}(f, \mathcal{T})\} (\text{jcc } \text{cond } \text{tgt}) \{\mathcal{T}_2\}}$$

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- ▶ The machine context \mathcal{M} has the assignment of registers and stack values in terms of the variables
- ▶ The type context $\mathcal{T} = (\mathcal{V}, \mathcal{M})$ is used as pre- and post-conditions for assembly sequences

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It is still a research project at this point, but I have every intention to grow this to an industrial strength project eventually.

Conclusion

- ▶ The MMC language design is unlike any I have seen, and I think there is a real need for it.
- ▶ It still remains to be seen if it is actually usable in practice, but it could be a game-changer, bringing the task of writing formally verified programs down to the level of the average proof assistant user.
- ▶ The self-verification of MM0 will set a new standard for what formal verification is really capable of, and my ultimate goal is to get all major theorem provers verified either directly or by translation to MM0 (or another verified language).

Github: <https://github.com/digama0/mm0>

Thesis: <https://digama0.github.io/mm0/thesis.pdf>